

1 **Title: A novel ingestion strategy for sodium bicarbonate supplementation in a delayed-release form: a**  
2 **randomised crossover study in trained males**

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4 **Authors:**

5 Nathan Philip Hilton<sup>1</sup>, Nicholas Keith Leach<sup>1</sup>, S. Andy Sparks<sup>1</sup>, Lewis Anthony Gough<sup>2</sup>, Melissa May Craig<sup>3</sup>,  
6 Sanjoy Kumar Deb<sup>4</sup>, Lars Robert McNaughton<sup>1,5</sup>.

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8 **Affiliations:**

9 <sup>1</sup>Sports Nutrition and Performance Group, Department of Sport and Physical Activity, Edge Hill University, UK.

10 <sup>2</sup>Department of Health, Education and Life Sciences, Birmingham City University, UK.

11 <sup>3</sup>Therapies Department, Liverpool Heart and Chest Hospital NHS Foundation Trust, UK.

12 <sup>4</sup>School of Life Sciences, University of Westminster, UK.

13 <sup>5</sup>Department of Sport and Movement Studies, Faculty of Health Science, University of Johannesburg,  
14 Johannesburg, South Africa.

15

16 **Correspondence:**

17 Nathan Hilton

18 Sports Nutrition and Performance Group

19 Department of Sport and Physical Activity

20 Edge Hill University

21 St Helens Road, Ormskirk

22 L39 4QP, UK.

23

24 E-mail: hiltonn@edgehill.ac.uk

25 Phone: (+44) 1695 657344

26

27 Other e-mail contacts:

28 nicholas.leach@go.edgehill.ac.uk; andy.sparks@edgehill.ac.uk; lewis.gough@bcu.ac.uk;

29 melissa.craig@lhch.nhs.uk; s.deb@westminster.ac.uk; mcnaughl@edgehill.ac.uk.

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## ABSTRACT

**Background:** Sodium bicarbonate ( $\text{NaHCO}_3$ ) is a well-established nutritional ergogenic aid, though gastrointestinal (GI) distress is a common side-effect. Delayed-release  $\text{NaHCO}_3$  may alleviate GI symptoms and enhance bicarbonate bioavailability following oral ingestion, although this has yet to be confirmed. **Methods:** In a randomised crossover design, pharmacokinetic responses and acid-base status were compared following two forms of  $\text{NaHCO}_3$ , as were GI symptoms. Twelve trained healthy males (mean  $\pm$  SD: age  $25.8 \pm 4.5$  y; maximal oxygen uptake ( $\dot{V}\text{O}_{2\text{max}}$ )  $58.9 \pm 10.9$  mL $\cdot$ kg $\cdot$ min $^{-1}$ ; height  $1.8 \pm 0.1$  m; body mass  $82.3 \pm 11.1$  kg; fat-free mass  $72.3 \pm 10.0$  kg) underwent a control (CON) condition and two experimental conditions: 300 mg $\cdot$ kg $^{-1}$  body mass  $\text{NaHCO}_3$  ingested as an aqueous solution (SOL) and encased in delayed-release capsules (CAP). Blood bicarbonate concentration, pH and base excess (BE) were measured in all conditions over 180 min, as were subjective GI symptom scores. **Results:** Incidences of GI symptoms and overall severity were significantly lower (mean difference = 45.1%,  $P < 0.0005$  and 47.5%,  $P < 0.0005$  for incidences and severity, respectively) with the CAP than with the SOL. Symptoms displayed increases at 40 to 80 min post-ingestion with the SOL that were negated with CAP ( $P < 0.05$ ). Time to reach peak bicarbonate concentration, pH and BE were significantly longer with CAP than with the SOL. **Conclusions:** In summary, CAP can mitigate GI symptoms induced with SOL and should be ingested earlier to induce similar acid-base changes. Furthermore, CAP may be more ergogenic in those who experience severe GI distress with SOL, although this warrants further investigation.

**Keywords:** acid-base balance, extracellular buffer, bioavailability, exercise-induced fatigue.

### Key points:

- Delayed-release  $\text{NaHCO}_3$  mitigated GI distress compared with the aqueous solution ingestion form, therefore athletes who have experienced problematic side-effects in the past may now benefit from supplementation.
- Time to reach peak blood bicarbonate increased with delayed-release  $\text{NaHCO}_3$ , and therefore requires earlier ingestion (~48 min) in comparison with the aqueous solution ingestion form.
- Bicarbonate bioavailability was enhanced in some individuals with delayed-release  $\text{NaHCO}_3$ , hence ingestion should be based upon individual concentration-time profiles in conjunction with GI symptoms.

61

62 **BACKGROUND**

63 Sodium bicarbonate ( $\text{NaHCO}_3$ ) is a well-established nutritional ergogenic aid. Supplementation can improve  
64 short-duration (~ 1-10 min), high-intensity exercise performance [1], with various meta-analyses confirming its  
65 efficacy [2-5]. As an extracellular buffering agent,  $\text{NaHCO}_3$  enhances endogenous bicarbonate buffering capacity  
66 by inducing significant, albeit transient, elevations in extracellular bicarbonate. Consequently, this enhances efflux  
67 of hydrogen cations ( $\text{H}^+$ ) from skeletal muscle, therefore delaying muscle fatigue and positively affecting  
68 numerous performance variables, such as power output [6] and time to exhaustion [7]. While it remains unclear  
69 whether minimal increases are required to achieve these benefits, substantial changes (~6  $\text{mmol}\cdot\text{L}^{-1}$ ) in blood  
70 bicarbonate may improve the likelihood of performance enhancing effects [2, 8]. Given that bicarbonate is lost in  
71 the neutralisation of gastric acid [9], large oral doses (200-300  $\text{mg}\cdot\text{kg}^{-1}$  body mass) are required to induce  
72 meaningful elevations in the blood.

73 Acute gastrointestinal (GI) distress is a known side-effect of ingesting large amounts of  $\text{NaHCO}_3$  [10],  
74 particularly when administered as an aqueous solution [11]. Ergogenic effects have still been observed in those  
75 reporting GI distress [1, 12], however there is evidence to suggest that GI distress may be ergolytic for some  
76 individuals [1, 13-15]. Furthermore, some authors have suggested that GI distress may deter individuals from  
77 using  $\text{NaHCO}_3$  regardless of its potential ergogenic benefits [8]. Although the impact of GI distress on  
78 performance remains ambiguous, symptoms such as vomiting and diarrhoea may present a major practical  
79 limitation for athletes and coaches.

80 Polymeric-coated compounds can resist gastric degradation and reduce GI symptoms provoked by acid  
81 sensitive compounds, such as  $\text{NaHCO}_3$  [16]. Hydroxypropyl methylcellulose, contained in delayed-release  
82 capsules, can resist degradation in acidic environments (pH ~1-2 arbitrary units (AU)), and therefore provides  
83 gastro-resistant properties. Instead, degradation occurs in the duodenum where the pH is far more alkaline (pH ~  
84 6-7 AU) and absorption can take place rapidly. Since GI distress is partly attributable to degradation in the stomach  
85 [9], it has been suggested that gastro-resistant capsules may alleviate symptoms that are typical with  $\text{NaHCO}_3$   
86 ingestion [17]. Given that less bicarbonate is lost in the stomach, it has also been suggested that smaller doses  
87 may produce comparable acid-base changes to larger doses [17]. In contrast, as gut transit time is reduced with  
88 gastro-resistant formulations [16], this may reduce bicarbonate bioavailability when administered in this form. No  
89 study to date has examined the use of delayed-release  $\text{NaHCO}_3$  on markers of GI distress, nor on bicarbonate

90 bioavailability and subsequent blood acid-base responses. Reducing GI distress following NaHCO<sub>3</sub> ingestion may  
91 enhance use by athletes, particularly among those who are deterred by potential side-effects.

92 Therefore, the aim of this study was to investigate whether delayed-release NaHCO<sub>3</sub> could mitigate GI  
93 distress compared with an aqueous solution, as well as compare the pharmacokinetic and acid-base responses. It  
94 was hypothesised that delayed-release NaHCO<sub>3</sub> would reduce GI symptoms, and display at least bioequivalence  
95 when compared to an aqueous solution.

96

## 97 **METHODS**

### 98 **Participants**

99 Twelve trained [18] healthy males (mean  $\pm$  SD: age 25.8  $\pm$  4.5 y; maximal oxygen uptake ( $\dot{V}O_{2max}$ ) 58.9  $\pm$  10.9  
100 mL·kg<sup>-1</sup>·min<sup>-1</sup>; height 1.8  $\pm$  0.1 m; body mass 82.3  $\pm$  11.1 kg; fat-free mass 72.3  $\pm$  10.0 kg) were recruited for the  
101 study. The study was approved by the University Research Ethics Committee (URESC) before the participants  
102 gave written informed consent to take part in the study. Inclusion in the study required that participants had  
103 performed regular ( $\geq 3$  d·wk<sup>-1</sup>) physical exercise for at least two years. Exclusion criteria included ingestion of  
104 any buffering agents <6 months prior to commencing the study, and those with hypertension or on a sodium-  
105 restricted diet.

106

### 107 **Study overview**

108 Before taking part in the experimental trials, each participant underwent a baseline assessment over two laboratory  
109 visits separated by at least 48 h to establish (i) body composition and  $\dot{V}O_{2max}$  and (ii) fluctuations in blood analytes  
110 (HCO<sub>3</sub><sup>-</sup>, pH and base excess) under normal conditions. Fluctuations in blood analytes and GI symptoms under  
111 normal conditions were used as a control (CON) measure throughout. In the experimental trials, all participants  
112 underwent two conditions; 300 mg·kg<sup>-1</sup> body mass NaHCO<sub>3</sub> administered as either an aqueous solution (SOL) or  
113 encased in delayed-release capsules (CAP). Experimental trials were administered in a block randomised  
114 crossover design that were counterbalanced (Latin-square) in order of administration, and took place at least seven  
115 days apart to allow for the washout of residual NaHCO<sub>3</sub> [19]. Participants were required to abstain from alcohol  
116 or caffeine-containing beverages for 12 h and strenuous exercise 24 h before each laboratory visit. All sessions  
117 took place under standardised laboratory conditions (temperature = 21–22 °C, relative humidity = 50–55%,  
118 barometric pressure = 756–759 mmHg) and were conducted at 0900 h to account for circadian rhythms [20].

119

## 120 **Baseline assessment**

121 Participants arrived at the laboratory on both occasions after an overnight fast (~12 h) and euhydrated. On one  
122 visit, semi-nude body mass and fat-free mass were assessed using whole-body air displacement plethysmography  
123 (BOD POD®, COSMED, Italy). Participants then performed an incremental exercise test to volitional exhaustion  
124 on an electromagnetically-braked cycle ergometer (Excalibur Sport, Lode, Netherlands). After a standardised 5  
125 min warm-up at a power output of 70 watts (W), the cycling protocol commenced at 75 W for 1 min and workload  
126 increased by 1 W every 2 s ( $30 \text{ W} \cdot \text{min}^{-1}$ ) until volitional exhaustion. This was determined by the inability of the  
127 participant to sustain their respective self-selected cadence for  $> 5$  s despite feedback and strong verbal  
128 encouragement. On a separate visit, fingertip capillary blood samples were obtained using an aseptic technique  
129 after the participants were quietly seated for 20 min. Blood samples were drawn every 20 min over 180 min, with  
130 10 min sampling between 80 and 140 min to accurately capture peak values [21]. Blood samples were collected  
131 in 100  $\mu\text{L}$  heparin-coated glass clinitubes (Radiometer Medical Ltd, Denmark), and immediately analysed using  
132 a blood gas analyser (ABL800 BASIC, Radiometer Medical Ltd., Denmark). At the same time points, GI  
133 symptoms were recorded using a 9-item questionnaire, including nausea, flatulence, stomach cramping, belching,  
134 stomach ache, bowel urgency, diarrhoea, vomiting, and stomach bloating [11]. Symptoms were self-measured on  
135 a 10 cm scale, the ends of which were marked “0, no symptom” and “10, severe symptom”, as previously described  
136 [12]. Participants remained seated throughout, although toilet breaks were permitted. No food was consumed  
137 during the experimental trials and water was permitted *ad libitum*, with volumes replicated in the subsequent  
138 experimental session.

139

## 140 **Experimental trials**

141 Treatment condition SOL was prepared in 400 mL of natural mineral water (Evian®, France) and mixed with 50  
142 mL of sugar-free blackcurrant flavoured squash (Robinsons®, UK) and refrigerated (~1 h) to enhance palatability  
143 [12]. For the CAP condition, size 00 capsules (DRcaps™, Capsugel®, France) were prefilled with  $\text{NaHCO}_3$  using  
144 a capsule filler (Capsule Connection LLC, USA), while doses were checked for accuracy using digital laboratory  
145 scales (Fisher, OHAUS™). Participants were instructed to ingest either the SOL or CAP with an equal volume  
146 (400 mL) of water within 10 min, while the stopwatch commenced parallel with the start of ingestion [21, 22].  
147 All experimental trials were conducted under the same conditions as the CON trial, and blood analytes and GI  
148 symptoms were measured as previously described.

149

## 150 **Statistical analysis**

151 Prospective statistical power analysis was conducted *a priori* to determine that twelve participants were required,  
152 with alpha and beta set at 0.05 and 0.20, respectively. Data were assessed for normality using standard graphical  
153 methods prior to analyses [23]. Two-way analysis of variance (ANOVA) with repeated measures (condition  $\times$   
154 time) was used to establish significant main effects for blood analytes ( $\text{HCO}_3^-$ , pH and BE) and GI symptom  
155 scores. Condition consisted of two levels (SOL and CAP) whereas time consisted of thirteen (0, 20, 40, 60, 80,  
156 90, 100, 110, 120, 130 140, 160 and 180 min). Effect sizes were calculated using partial eta squared ( $\eta^2$ ) for  
157 ANOVA, and were interpreted according to Cohen [24] as follows: trivial  $<0.20$ ; small 0.20-0.49; moderate 0.50-  
158 0.79 and large  $\geq 0.80$ . Blood analytes and GI symptom scores were then analysed using one-way ANOVA to  
159 establish differences at individual time points. Sphericity was assessed using Mauchly's test throughout. Where  
160 appropriate, corrections for violations of sphericity (Greenhouse-Geisser) and multiple comparisons of differences  
161 within a factor (Bonferroni) were made [25]. Mean pharmacokinetic variables and highest GI symptoms score  
162 between conditions were analysed by paired samples *t*-test. Descriptive data are presented as mean  $\pm$  SD unless  
163 stated otherwise. The  $\alpha$ -level of statistical significance was set at  $P < 0.05$ , and exact *P*-values are given in the  
164 text and tables. Values for *P* of "0.000" given by the statistical package were corrected to " $< 0.0005$ " [26]. Data  
165 were analysed using the Statistical Package for the Social Sciences (SPSS<sup>®</sup>) for Windows<sup>®</sup> (IBM, Chicago, IL,  
166 USA), version 25.

167

## 168 **RESULTS**

### 169 **Gastrointestinal distress**

170 No GI symptoms were reported pre-ingestion, nor at any time point in the CON condition. All participants ( $N =$   
171 12) experienced at least one GI symptom following SOL and CAP ingestion (Table 1). Stomach bloating was the  
172 most prevalent GI symptom in both experimental trials, although this was lower with CAP (58%) than with SOL  
173 (100%). Overall, fewer GI symptoms (mean difference  $-45.1\%$ ) were reported with CAP than with SOL (Figure  
174 1A). Incidences of GI distress peaked at 40 min post-ingestion under both conditions (Figure 1A), which was  
175 predominantly due to belching and bowel urgency.

176

**Table 1** The most severe individual GI symptom reported during any trial. Symptom scores are displayed in parenthesis and are expressed as arbitrary units (AU).

Participant	CON	SOL	CAP
1	Nil (0.0)	Stomach cramp (3.5)	Stomach bloating (3.0)
2	Nil (0.0)	Bowl urgency (7.0)	Stomach bloating (3.0)
3	Nil (0.0)	Nausea (6.0)	Nausea (2.0)
4	Nil (0.0)	Diarrhoea (10.0)	Stomach bloating (7.0)
5	Nil (0.0)	Diarrhoea (10.0)	Diarrhoea (7.0)
6	Nil (0.0)	Diarrhoea (10.0)	Diarrhoea (5.5)
7	Nil (0.0)	Bowl urgency (6.0)	Bowl urgency (5.0)
8	Nil (0.0)	Bowl urgency (10.0)	Bowl urgency (2.0)
9	Nil (0.0)	Diarrhoea (10.0)	Belching (3.0)
10	Nil (0.0)	Diarrhoea (10.0)	Belching (3.0)
11	Nil (0.0)	Stomach ache (3.0)	Belching (3.0)
12	Nil (0.0)	Diarrhoea (10.0)	Diarrhoea (7.0)
<b>Mean (SD)</b>	0.00 ± 0.00	7.96 ± 2.73 AU	4.21 ± 1.97 AU

178

179

180 [INSERT FIG 1 NEAR HERE]

181

182 Overall GI symptoms increased in the SOL ( $P < 0.0005$ ) and CAP ( $P < 0.017$ ) conditions beyond those observed

183 in the CON condition. There was a significant effect of ingestion form ( $F_{1,00, 11,00} = 21.13$ ,  $P = 0.001$ ,  $\eta^2 = 0.66$ ),

184 with less severe GI symptoms reported with CAP than with the SOL ( $P = 0.001$ ) (Figure 1B). There was no effect

185 of time ( $F_{2,85, 31,36} = 2.89$ ,  $P = 0.053$ ,  $\eta^2 = 0.21$ ), although symptoms at 40 min were significantly greater than pre-

186 ingestion ( $P = 0.03$ ). No significant interaction was found ( $F_{3,22, 35,39} = 1.87$ ,  $P = 0.148$ ,  $\eta^2 = 0.15$ ). Overall GI

187 symptoms were significantly greater with the SOL at 20 ( $P = 0.004$ ), 40 ( $P < 0.0005$ ), 60 ( $P = 0.002$ ), 80 ( $P =$

188 0.001), 90 ( $P = 0.002$ ) and 120 ( $P = 0.018$ ) min post-ingestion than in the CON condition. Symptoms were

189 significantly lower at 40 ( $P = 0.004$ ), 60 ( $P = 0.035$ ) and 80 ( $P = 0.017$ ) min post-ingestion with CAP than with

190 the SOL. Gastric symptoms were significantly lower at 40 ( $P = 0.006$ ), 60 ( $P = 0.020$ ) and 80 min ( $P = 0.021$ )

191 post-ingestion with CAP than with the SOL, while no significant differences were reported for intestinal  
192 symptoms ( $P > 0.05$ ). There was a significant difference in the most severe GI symptom experienced in the SOL  
193 ( $7.21 \pm 2.48$  AU) and CAP ( $4.29 \pm 2.12$  AU) conditions ( $P = 0.002$ ), respectively (Table 1). Time to reach the  
194 most severe individual GI symptom was greater with the SOL ( $87.50 \pm 50.29$  min) than with CAP ( $75.00 \pm 32.33$   
195 min), although these were not significant ( $P > 0.05$ ).

196

197 **[INSERT FIG 2 NEAR HERE]**

198

### 199 **Bicarbonate bioavailability**

200 Ingestion form had no significant effect on bicarbonate concentration ( $F_{1,00, 11,00} = 0.71$ ,  $P > 0.05$ ,  $\eta^2 = 0.061$ ) up  
201 to 180 min post-ingestion. There was a significant effect of time ( $F_{2,38, 26,23} = 101.74$ ,  $P < 0.0005$ ,  $\eta^2 = 0.90$ );  
202 bicarbonate concentration increased notably for 60 min following ingestion of the SOL, until a decrease occurred  
203 from the previous time point at 180 min ( $P = 0.004$ ) post-ingestion (Figure 2). In the CAP condition, bicarbonate  
204 concentration rose progressively between 40-90 min, after which bicarbonate did not significantly change ( $P >$   
205  $0.05$ ). A significant interaction was found between condition and time ( $F_{2,31, 25,44} = 16.48$ ,  $P < 0.0005$ ,  $\eta^2 = 0.60$ ).  
206 Bicarbonate concentrations were significantly higher with the SOL at 20 ( $P = 0.008$ ), 40 ( $P = 0.001$ ) and 60 min  
207 ( $P = 0.011$ ) post-ingestion than with the CAP, and significantly lower at 130 ( $P = 0.021$ ), 140 ( $P = 0.019$ ) and  
208 160 min ( $P = 0.047$ ) post-ingestion. Mean pharmacokinetic variables were similar between conditions (Table 2).  
209 There was a delay in the absorption of bicarbonate with CAP; lag time ( $T_{lag}$ ) was greater with CAP than with SOL  
210 ( $P = 0.002$ ), as was the time to reach peak bicarbonate concentration ( $P < 0.0005$ ). Peak bicarbonate concentration  
211 ( $C_{max}$ ), change in bicarbonate concentration ( $\Delta C_{max}$ ), and area under the curve ( $AUC_{0-3h}$ ) increased in the SOL and  
212 CAP conditions ( $P < 0.005$ ) compared with the CON, with no significant differences between conditions ( $P >$   
213  $0.05$ ). However, a greater number of participants reached a  $5 \text{ mmol}\cdot\text{L}^{-1}$  (SOL:  $N = 10$ ; CAP:  $N = 11$ ) and 6  
214  $\text{mmol}\cdot\text{L}^{-1}$  (SOL:  $N = 8$ ; CAP:  $N = 9$ ) increase in bicarbonate with CAP than with the SOL (Figure 3).

215



**Table 2** Mean ( $\pm$ SD) pharmacokinetic response variables for bicarbonate in the SOL and CAP conditions, together with the statistical significance of the difference.

Outcome	SOL	CAP	<i>t</i> -test	<i>P</i> value
T <sub>lag</sub> (min)	20.0 $\pm$ 0.0*	31.7 $\pm$ 10.3*	-3.92	0.002
T <sub>max</sub> (min)	71.7 $\pm$ 18.0**	120.0 $\pm$ 28.9**	-5.35	<0.0005
C <sub>max</sub> (mmol·L <sup>-1</sup> )	31.2 $\pm$ 1.1	31.8 $\pm$ 1.3	-1.66	0.125
$\Delta$ C <sub>max</sub> (mmol·L <sup>-1</sup> )	6.4 $\pm$ 1.3	6.5 $\pm$ 1.1	-0.46	0.658
AUC <sub>0-3h</sub> (mmol·min/L)	5277.9 $\pm$ 173.9	5286.0 $\pm$ 197.9	-0.13	0.899

*Note:* Asterix denotes significant difference between SOL and CAP (\**P* < 0.05; \*\**P* < 0.0005). T<sub>lag</sub> = time to commence change in bicarbonate concentration; T<sub>max</sub> = time to peak concentration; C<sub>max</sub> = peak bicarbonate concentration;  $\Delta$ C<sub>max</sub> = absolute change in bicarbonate concentration; AUC<sub>0-3h</sub> = area under the concentration-time curve.

217

218

219 [INSERT FIG 3 NEAR HERE]

220

221 **Acid-base balance**222 Ingestion form had no significant effect on pH ( $F_{1.00, 11.00} = 2.88$ ,  $P > 0.05$ ,  $\eta^2 = 0.21$ ) up to 180 min post-ingestion.223 There was a significant effect of time ( $F_{4.42, 48.60} = 43.74$ ,  $P < 0.0005$ ,  $\eta^2 = 0.80$ ); pH increased markedly for 60224 min following ingestion of the SOL, until a decrease occurred from the previous time point at 180 min ( $P = 0.004$ )

225 post-ingestion (Figure 4). In the CAP condition, pH rose progressively between 40-90 min, after which pH did

226 not significantly change ( $P > 0.05$ ). A significant interaction was found between condition and time for pH ( $F_{4.88,$ 227  $53.67 = 6.42$ ,  $P < 0.0005$ ,  $\eta^2 = 0.37$ ). Blood pH was significantly higher with the SOL at 40 min ( $P = 0.009$ ) post-228 ingestion than with the CAP, and significantly lower at 120 min ( $P = 0.017$ ) post-ingestion. Blood pH peaked229 much later with the CAP (SOL = 71.67  $\pm$  25.88 min; CAP = 125.83  $\pm$  27.75 min;  $P = 0.001$ ) than with the SOL,230 although absolute changes were comparable between conditions ( $P = 0.093$ ).231 Similarly, ingestion form had no significant effect on BE ( $F_{1.00, 11.00} = 0.69$ ,  $P > 0.05$ ,  $\eta^2 = 0.06$ ) up to 180232 min post-ingestion. There was a significant effect of time ( $F_{2.24, 24.68} = 118.08$ ,  $P < 0.0005$ ,  $\eta^2 = 0.92$ ); BE increased

233 markedly for 60 min following ingestion of the SOL, until a decrease occurred from the previous time point at

234 180 min ( $P = 0.034$ ) post-ingestion (Figure 4). In contrast, BE rose progressively between 40-90 min in the CAP  
235 condition, after which BE did not significantly change ( $P > 0.05$ ). A significant interaction was found between  
236 condition and time ( $F_{2,20, 24,18} = 15.35$ ,  $P < 0.0005$ ,  $\eta^2 = 0.58$ ). Blood BE was significantly higher with the SOL at  
237 20 ( $P = 0.014$ ), 40 ( $P = 0.005$ ) and 60 min ( $P = 0.034$ ) post-ingestion than with the CAP, and significantly lower  
238 at 130 ( $P = 0.022$ ) and 140 min ( $P = 0.019$ ) post-ingestion. Blood BE peaked much later with CAP (SOL =  $71.67$   
239  $\pm 18.01$  min; CAP =  $112.50 \pm 27.01$  min;  $P < 0.0005$ ) than with the SOL, although absolute changes were  
240 comparable between conditions ( $P = 0.071$ ).

241

242 **[INSERT FIG 4 NEAR HERE]**

243

244 **DISCUSSION**

245 This is the first study to investigate the effects of gastro-resistant capsules on GI distress, bicarbonate  
246 bioavailability and subsequent acid-base responses following  $\text{NaHCO}_3$  ingestion. The main finding was that  
247 delayed-release  $\text{NaHCO}_3$  mitigated GI distress, as hypothesised. Fewer GI symptoms (~45.1%) were reported  
248 with the delayed-release capsules, and the overall severity was reduced (~47.1%) when compared to the aqueous  
249 solution. Interestingly, reductions in GI symptoms were due to gastric but not intestinal symptoms, a finding that  
250 has been suggested in the relevant literature [16]. Gastrointestinal symptoms were negated with the delayed-  
251 release capsules, with a reduction in the most severe symptom experienced up to 3-h following supplementation  
252 (Table 1). Given that GI symptoms may be ergolytic [14-16, 28], delayed-release  $\text{NaHCO}_3$  may be more ergogenic  
253 in those who experience severe GI distress with the aqueous solution. Furthermore, since GI distress may deter  
254 some individuals from using  $\text{NaHCO}_3$  as an ergogenic aid [8, 11], delayed-release  $\text{NaHCO}_3$  would appear to be a  
255 more favourable option for athletes and coaches.

256 While necessary to achieve ergogenicity [29], large boluses (~200–300  $\text{mg}\cdot\text{kg}^{-1}$  body mass) of  $\text{NaHCO}_3$   
257 can induce significant GI symptoms. In the current study, there was a high incidence of GI distress with the  
258 aqueous solution, which is in agreement with some authors [14] but not others [11, 30]. Symptoms are considered  
259 to have both gastric and intestinal causes [9], a finding that is supported by the current study. On entering the  
260 stomach,  $\text{NaHCO}_3$  dissociates to sodium and bicarbonate ions, the latter of which produces carbon dioxide during  
261 the neutralisation of gastric acid [9]. Consequently, carbon dioxide tension increases exponentially with exposure,  
262 and is associated with gastric symptoms, such as belching, nausea and stomach ache. Intestinal symptoms, though  
263 partly induced from elevated carbon dioxide tension in the intestinal lumen, originate from excess sodium that

264 aggravates the intestinal mucosa and creates osmotic fluctuations leading to bowel urgency and diarrhoea [14].  
265 Delayed-release capsules, partly formulated with a polymeric barrier, have gastro-resistant properties and can  
266 minimise disintegration in the stomach. Mitigating gastric symptoms may indeed have implications for  
267 performance. Previous research indicates that symptoms can inhibit high-intensity cycling performance [15],  
268 while others have reported improvements irrespectively [28]. Since numerous participants have withdrawn from  
269 studies due to GI distress [31], previous research may have underestimated the ergolytic effect of such symptoms.  
270 Studies that have attempted to mitigate GI symptoms following  $\text{NaHCO}_3$  ingestion, have done so using alternative  
271 dosing strategies. Gelatine capsules co-ingested with a small high-carbohydrate ( $1.5 \text{ g}\cdot\text{kg}^{-1}$  body mass) meal is  
272 currently regarded as the formulation least likely to induce GI symptoms following  $\text{NaHCO}_3$  ingestion [11]. In  
273 the current study, delayed-release capsules were ingested after an overnight fast, largely to minimise potential  
274 confounding effects of food on acid-base changes. Nevertheless, co-ingestion with a small high-carbohydrate meal  
275 may have further reduced GI symptoms and warrants further investigation. Furthermore, while comparison with  
276 an aqueous solution was chosen based on its frequency of use within the literature, this may not be the case in the  
277 practice and is thus a limitation to the study. Future work should look to assess the pharmacokinetics of  $\text{NaHCO}_3$   
278 administered based on capsule composition only, so that the mechanism underpinning bioavailability and  
279 reductions in GI distress may be better understood.

280 In relation to bioavailability, both ingestion forms provided adequate sources of bicarbonate, and  
281 displayed similar pharmacokinetic properties. Increases in bicarbonate were comparable, with both forms  
282 exceeding the  $6 \text{ mmol}\cdot\text{L}^{-1}$  threshold suggested to enhance ergogenicity [2]. Interestingly, some ( $N = 3$ ) participants  
283 displayed enhanced bicarbonate availability ( $\geq 1 \text{ mmol}\cdot\text{L}^{-1}$ ) with delayed-release capsules (Figure 4), while only  
284 one participant was found to have enhanced bicarbonate availability of this magnitude with the aqueous solution.  
285 Similarly, more participants achieved a  $5 \text{ mmol}\cdot\text{L}^{-1}$  (SOL:  $N = 10$ ; CAP:  $N = 11$ ) and  $6 \text{ mmol}\cdot\text{L}^{-1}$  (SOL:  $N = 8$ ;  
286 CAP:  $N = 9$ ) increase in bicarbonate with the delayed-release capsules than with the aqueous solution. These  
287 results would be explained by the gastric bypass model proposed by Oliveira et al [17], which includes the effect  
288 of gastric transit time, and bicarbonate loss associated with neutralisation. As suggested by these authors, reducing  
289 bicarbonate neutralisation in the stomach increases bioavailability when  $\text{NaHCO}_3$  is administered orally. Since  
290 changes of  $\sim 1 \text{ mmol}\cdot\text{L}^{-1}$  in bicarbonate concentration can positively affect performance [28], delayed-release  
291  $\text{NaHCO}_3$  may elicit superior ergogenicity. In contrast to the aqueous solution, bicarbonate absorption did not  
292 commence immediately following capsule ingestion, suggesting that the delayed-release capsules were effective  
293 [16, 27]. This result indicates that the capsules achieved disintegration in the intestine, which had the effect of

294 lengthening (+48.3 min) the time to reach peak bicarbonate concentration. Bicarbonate peaked at ~120 minutes  
295 post-ingestion with the delayed-release capsules, which is later than previously reported with an aqueous solution  
296 in some studies [21] but not all [22]. Similar to previous studies [32-34], there was a high degree of individual  
297 variability in the time to reach peak bicarbonate concentration, although this was greater with the capsules. The  
298 current findings for bicarbonate indicate that for most individuals, delayed-release NaHCO<sub>3</sub> may increase the  
299 likelihood of inducing a performance-enhancing effect, however as this was not consistent for all individuals,  
300 decisions around ingestion form should be based upon individual concentration-time profiles.

301           Metabolic alkalosis was induced earlier with the aqueous solution (~40 min) than with delayed-release  
302 capsules (~60 min), although this state was maintained for longer (+30 min) when ingested in the delayed-release  
303 form. Homeostatic regulation, through respiratory compensation [35], may have been stimulated to a lesser extent  
304 with slower bicarbonate absorption, rather than the abrupt elevation observed with an aqueous solution. Exercise  
305 performance timed with peak alkalosis may enhance the ergogenicity of NaHCO<sub>3</sub> [8, 31], therefore it is reasonable  
306 to consider that delayed-release may provide a larger ergogenic window. In a competitive setting, this may be  
307 more favourable since performance may not commence parallel with peak alkalosis due to variable factors, such  
308 as sports fixtures. In the current study, time to reach peak alkalosis was much later with delayed-release NaHCO<sub>3</sub>  
309 (~125 min) than with the aqueous solution (~72 min), with one participant peaking at 180 minutes post-ingestion.  
310 In a practical setting, delayed-release NaHCO<sub>3</sub> would have to be ingested sooner than an aqueous solution to elicit  
311 similar acid-base changes. This may be favourable in terms of ergogenicity, since GI symptoms were negligible  
312 at later time points. In contrast, given that bicarbonate concentrations were significantly lower with delayed-  
313 release NaHCO<sub>3</sub> up to 60 min post-ingestion, this form may be less ergogenic when ingested less than 60 min  
314 prior to exercise.

315

## 316 CONCLUSIONS

317 In summary, delayed-release NaHCO<sub>3</sub> mitigates GI symptoms, and these effects do not reflect the intestinal  
318 component but rather the gastric component of overall symptoms. The similar pharmacokinetic properties,  
319 coupled with a delay in the time to reach metabolic alkalosis, mean that delayed-release NaHCO<sub>3</sub> requires earlier  
320 ingestion than with an aqueous solution to induce comparable acid-base changes. The current study supports the  
321 gastric bypass model, which can be used as a model for exploring various ingestion forms and modes of  
322 administration generally. Lastly, delayed-release NaHCO<sub>3</sub> may be more ergogenic in those who experience severe  
323 gastrointestinal distress with an aqueous solution, although this warrants further investigation.

324

**325 Abbreviations**

326  $\text{NaHCO}_3$  = sodium bicarbonate; CON = control; CAP = delayed-release sodium bicarbonate; SOL = aqueous  
327 solution sodium bicarbonate;  $T_{\text{lag}}$  = time to commence change in bicarbonate concentration;  $T_{\text{max}}$  = time to peak  
328 bicarbonate concentration;  $C_{\text{max}}$  = peak bicarbonate concentration;  $\Delta C_{\text{max}}$  = absolute change in bicarbonate  
329 concentration;  $\text{AUC}_{0-3\text{h}}$  = area under the concentration-time curve.

330

**331 DECLARATIONS****332 Ethics approval and consent to participate**

333 Ethical approval was granted by the University Research Ethics Committee (URESC17-NH01), and each  
334 participant provided informed consent prior to commencing the study (see methods section).

335

**336 Consent for publication**

337 Each participant provided informed consent for the results of this study to be published.

338

**339 Availability of data and material**

340 Supporting data is available upon request (see corresponding author email).

341

**342 Competing interests**

343 Nathan Hilton, Nicholas Leach, Andy Sparks, Lewis Gough, Melissa Craig, Sanjoy Deb and Lars McNaughton  
344 can confirm that there are no competing interests.

345

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347 No funding was received for this study.

348

**349 Authors' contributions**

350 The study was designed by NH, LM and AS. Data were collected by NH, NL and LG. The manuscript was written  
351 by NH, with feedback provided by LM, AS and MC. All authors approved the final version of the manuscript.

352

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357

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437

#### 438 **FIGURE LEGENDS**

439

440 **Fig 1** Incidence (a) and mean ( $\pm$ SD) severity (b) of GI symptoms. \*Denotes significant difference between SOL  
441 and CAP conditions ( $P < 0.05$ ).

442

443 **Fig 2** Mean ( $\pm$ SD) blood bicarbonate concentrations. \*Denotes significant difference between SOL and CAP  
444 conditions ( $P < 0.05$ ).



445

446 **Fig 3** Individual changes in blood bicarbonate concentration between conditions (SOL and CAP).

447

448 **Fig 4** Mean ( $\pm$ SD) pH (a) and BE (b) responses. \*Denotes significant difference between SOL and CAP conditions

449 ( $P < 0.05$ ).