A random forest approach for predicting the presence of *Echinococcus multilocularis* intermediate host *Ochotona spp.* presence in relation to landscape characteristics in western China

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**Abstract**

Understanding distribution patterns of hosts implicated in the transmission of zoonotic disease remains a key goal of parasitology. Here, random forests are employed to model spatial patterns of the presence of the plateau pika (*Ochotona spp.*) small mammal intermediate host for the parasitic tapeworm *Echinococcus multilocularis* which is responsible for a significant burden of human zoonoses in western China. Landsat ETM+ satellite imagery and digital elevation model data were utilized to generate quantified measures of environmental characteristics across a study area in Sichuan Province, China. Land cover maps were generated identifying the distribution of specific land cover types, with landscape metrics employed to describe the spatial organisation of land cover patches. Random forests were used to model spatial patterns of *Ochotona spp.* presence, enabling the relative importance of the environmental characteristics in relation to *Ochotona spp.* presence to be ranked. An index of habitat aggregation was identified as the most important variable in influencing *Ochotona spp.* presence, with area of degraded grassland the most important land cover class variable. 71% of the variance in *Ochotona spp.* presence was explained, with a 90.98% accuracy rate as determined by ‘out-of-bag’ error assessment. Identification of the environmental characteristics influencing *Ochotona spp.* presence enables us to better understand distribution patterns of hosts implicated in the transmission of Em. The predictive mapping of this Em host enables the
identification of human populations at increased risk of infection, enabling preventative
strategies to be adopted.

Keywords: Echinococcus multilocularis, Ochotona, remote sensing, random forests, landscape
metrics, classification.

1. Introduction

Human Alveolar Echinococcosis (HAE), caused by the parasitic tapeworm Echinococcus
multilocularis (Em), is an emerging pathogen for which increased prevalence and range
expansion is documented in many regions of the northern hemisphere (Eckert, 1996; Eckert et
al., 2001). It is a highly pathogenic zoonosis with over 94% mortality in untreated patients ten
years after diagnosis (Wang et al., 2010), and is increasingly recognised as a major population
health problem (Zhang et al., 2014). The known Em range includes Europe, North America,
Japan, the former USSR, Central Asia and China where new foci are being discovered (Wang
et al., 2001; Giraudoux et al., 2013a), with prevalence rates of greater than 10% observed in
Gansu and Sichuan provinces, China (Craig et al., 1992; Li et al., 2010). The spatial
distribution of Em is highly variable, with significant regional and local differences in parasite
prevalence resulting in patchy distributions generally not reflected in Em and HAE distribution
maps (Eckert et al., 2001; Giraudoux et al., 2006; 2013a).

The Em transmission cycle is based on the predator-prey relationships between canid
definitive hosts such as fox, coyote and wolf and small mammal intermediate hosts (Rausch,
1995; Eckert et al., 2001). Within a definitive host adult tapeworms produce eggs at regular
intervals which are shed in faeces, contaminating the environment (Raoul et al., 2001). The
parasite lifecycle then undergoes a free-egg stage, with intermediate hosts infected through oral
ingestion of eggs when feeding (Eckert, 1996). The transmission cycle is completed when
definitive hosts are infected by predating infected intermediate hosts. Em exploits a large
number of intermediate host species (>40) (Eckert et al., 2001; Giraudoux et al., 2013b),
however the epidemiological importance of these hosts varies (Rausch, 1995).

Domestic dogs can also be infected and, due to their close contact with human
populations, are a significant infection risk to humans (Rausch, 1995; Moss et al., 2013; Zhang
et al., 2014) via accidental ingestion of Em eggs. Prevalence rates of Em infection in domestic
dogs of up to 33% are recorded in Tibetan communities of western Sichuan Province, China
(Budke et al., 2005), with Craig et al. (2000) and Wang et al. (2001) identifying owned dogs as
a major transmission source to humans in Gansu Province, and the eastern Tibetan plateau, China, respectively (Wang et al., 2010).

Dog re-infection studies in Sichuan Province, China, suggest that domestic dog populations are quickly re-infected by Em, and may contribute to an active peri-domestic transmission cycle (Giraudoux et al., 2013a; Moss et al., 2013). Wang et al. (2010) also found that Em worm burden in dogs exhibited a statistically significant relationship to maximum burrow densities of a key Em intermediate host, the plateau pika (Ochotona spp.) in the surrounding landscape in Shiqu County, Ganze Tibetan Autonomous Prefecture, China. This study failed to identify significant relationships between dog worm burden and burrow density of another potential Em small mammal intermediate host present in this region, Microtus spp., thus suggesting that the rapid Em re-infection rates in domestic dogs, shown by Moss et al. (2013), is probably linked to surrounding high densities of Ochotona spp.

Small mammal species often exhibit specific preferences for optimal habitats, with species distributions influenced by the locations of these key habitats (Raoul et al., 2008). Small mammal populations are shown to respond to optimal habitat availability, particularly the ratio of optimal habitat to total land area (Giraudoux et al., 2003; Pleydell et al., 2008). Consequently, landscape change is known to affect the population dynamics of wild mammals (Lidicker, 1995), with increases in the optimal habitat proportions correlated with population outbreaks of Microtus arvalis and Arvicola terrestris in France (Giraudoux et al., 1997), and M. limnophilus and Cricetulus longicaudatus in south Gansu, China (Giraudoux et al., 1998; Craig et al., 2000). This process is hypothesised to be significant for Em transmission (Giraudoux et al., 1997), so that pathogen transmission may vary through time and space due to landscape modification. Elsewhere in China, small mammal spatial distributions are shown to be modified by landscape disturbances such as deforestation in Gansu (Giraudoux et al., 1998), afforestation in Ningxia (Raoul et al., 2008), and overgrazing and fencing practices on the Tibetan plateau (Wang et al., 2004; Raoul et al., 2006).

Pastureland degradation due to overgrazing has also been linked to increased small mammal densities, for example Ochotona spp., Microtus spp., Cricetulus kamensis and Myospalax baileyi (Raoul et al., 2006) on the eastern Tibetan plateau, China, where HAE is endemic (Wang et al., 2004; Li et al., 2010). In Shiqu county, China, grass height was negatively related to Ochotona curzoniae burrow abundance suggesting that overgrazing in this area increased abundance of this species (Wang et al., 2010). With high Ochotona spp. densities significantly associated with infection of domestic dogs (Wang et al., 2010), foxes
and humans (Craig et al., 2000), pastureland degradation resulting from overgrazing could prove a significant driver of increased human Em incidence in this region.

Previous studies of Em and landscape using remote sensing techniques in southern Gansu Province, China, identified strong links between landscape composition and HAE prevalence (Craig et al., 2000; Giraudoux et al., 2003; Danson et al., 2004). This suggested that grassland and tree/shrub habitats capable of sustaining cyclically high populations of susceptible intermediate hosts were key spatial determinants of Em transmission (Danson et al., 2003), and indicated that landscape composition could provide a useful predictor of Em and HAE (Pleydell et al., 2008; Giraudoux et al., 2013b).

On the Tibetan plateau the black-lipped pika or plateau pika (Ochotona curzoniae) is thought to be one of the principal intermediate hosts in the Em transmission cycle (Giraudoux et al., 2006; Zhang et al., 2014). Pika are social mammals that tend to be spatially clumped (Arthur et al., 2008), with average individual home range sizes for Ochotona curzoniae of 1,375 ± 206m² (Smith & Gao, 1991) and population densities ranging from 100 to 400 pikas ha⁻¹ on the Tibetan plateau (Jiapeng et al., 2013). Given the contrast between the biomass of Ochotona spp. (high) to Microtus spp. (low) in Shiqu county (Wang et al., 2010), the role of Ochotona spp. in transmission to dogs may be highly significant (Giraudoux et al., 2013a).

The research presented here builds on this previous work and investigates a critical phase of the Em transmission cycle, where the parasite is carried by small mammal intermediate hosts. Satellite remote sensing and in-situ ecological datasets are used to investigate the spatial relationship between Ochotona spp. presence and specific landscape characteristics to identify and better understand these links using random forests. Key landscape variables hypothesised to influence Ochotona spp. presence, and their relative importance, are determined and used to map Ochotona spp. presence over a broader geographical area. The hypotheses addressed are: (1) Ochotona spp. presence is statistically related to key environmental variables which can be used to predict species presence over larger areas; and (2) In the geographical area of interest, Ochotona spp. presence is specifically linked to areas of degraded grassland.

To identify the key landscape features influencing Ochotona spp. presence, random forest (RF) analysis methods are highly appropriate. RF are an ensemble learning technique developed by Breiman (2001) based on a combination of a large set of classification and regression trees. They are well-suited to handling large datasets with correlated predictor
variables (Svetnik et al., 2003), handle a variety of data types (Duro et al., 2012), are non-parametric (Strobl et al., 2008), make no assumption of independence concerning the data being analysed (Perdiguero-Alonso et al., 2008), and are robust to outliers, noise and over-fitting (Breiman, 2001). They have been used as analytical tools for a variety of applications (Svetnik et al., 2003) including remote sensing analysis (Duro et al., 2012; Abdel-Rahman et al., 2013) and parasitological studies (Perdiguero-Alonso et al., 2008).

Random forest algorithms employ recursive partitioning to generate multiple decision trees and average individual tree predictions across the entire forest (Duro et al., 2012; Abdel-Rahman et al., 2013). Each iteration uses two-thirds of the data to train the RF while the remaining third, the ‘out of bag’ (OOB) samples, are retained for testing the prediction error of the RF (Duro et al., 2012). The OOB error estimate also generates variable importance measures by comparing increases in OOB error when that variable is randomly permuted while all others are left unchanged, enabling ranking of the importance of individual variables (Abdel-Rahman et al., 2013). The OOB error estimate removes the need for cross-validation via a set-aside test dataset (Perdiguero-Alonso et al., 2008).

2. Materials and methods

The research focused on a study area near the town of Tuanji, Shiqu county, Ganze Tibetan Autonomous Prefecture, Sichuan Province, China (Fig 1). This is located on the eastern edge of the Tibetan plateau (Lat 33.04° Lon 97.97°) at altitudes between 4000-4300 metres, and dominated by semi-natural grassland. Although above the tree line, variation in herb and shrub vegetation produces a variety of land cover types. Heavy grazing by yak in this region has resulted in extensive areas of degraded grassland. Within Shiqu county, at least three townships have been found to be local foci for HAE, showing that a transmission cycle is, or has been active here (Wang et al., 2001).

Figure 1. Study site map with numbered survey transects and SRTM DEM (USGS, 2006) site elevation and UTM WGS84 zone 47N grid displayed. [SINGLE COLUMN FIGURE]
2.1 Study design

Fifteen transects of varying length (220-4750m) totaling approximately 35 km and comprising 3481 transect points were surveyed in July 2001 (Table 1), with transect routes pre-selected to sample the maximum number of land cover types. At ten meter intervals along the transects small mammal activity indicators were recorded. Visual sightings of small mammals and species-specific indicators including foraging corridors, ground holes, and small mammal faeces, all identifiable to species or genus level (Raoul et al., 2006; Wang et al., 2010), were used as evidence of small mammal presence using methods established by Giraudoux et al. (1998). Transects were mapped using a GPS with an accuracy of approximately 15 m.

At this study site the small mammal community predominantly comprised two Ochotona species both known to be Em intermediate hosts, Ochotona curzoniae (black-lipped pika), and Ochotona cansus (Gansu pika), the latter recorded sporadically compared to the former. Due to similarities between the two species resulting in identification difficulties, they were grouped together to form a generic Ochotona spp. group. Microtus irene, M. oeconomus, M. leucurus and Cricetulus kamensis small mammals were also observed but, given the very
extensive *Ochotona* spp. colonies in the study area in comparison to the sparse records of these other species, and the established links between *Ochotona* spp. and Em infection in dogs (Wang *et al.*, 2010), our investigation focused exclusively on *Ochotona* spp.

Altitude, slope and aspect values for each transect point were extracted from 90m resolution Shuttle Radar Topographic Mission (SRTM) digital elevation models. A Landsat ETM+ satellite image (3 July 2001) was acquired (path 134 row 37), geometrically corrected, with snow and cloud masks created to exclude these areas of the image from further analysis. ERDAS IMAGINE was used to perform a maximum likelihood supervised classification on the image using nine land cover classes: village, road, long grass, water, short grass, upper *Potentilla* shrubland, bare ground, degraded grassland, and wet grassland. Classification accuracy assessment was performed using 365 reference points collected from high-resolution imagery of the survey area using established techniques (e.g. Duro *et al.*, 2012). Reference points exhibiting temporal change in land cover type between Landsat ETM+ image and reference high resolution imagery acquisition dates were disregarded to minimise potential error.

When investigating the relationships between landscape and *Ochotona* spp. issues of scale and the spatial arrangement of different land cover class patches within the landscape should be considered (Pleydell *et al.*, 2008; Pleydell & Chrétien, 2010). A common approach is to quantify landscape characteristics around a point of interest using a circular buffer centred at the observation (Pleydell & Chrétien, 2010). However, as the optimal buffer size cannot be known *apriori*, multiple nested buffers with radius increments between 100m and 500m in 100m increments were generated for each transect point, enabling landscape influence over multiple ranges to be investigated. Within each nested buffer, the area of each land cover class was recorded. To minimise collinearity between these nested land cover area measurements (variables calculated using smaller buffers partly measures the same area as the larger buffers), but to retain the nested spatial structure, a new set of variables Z100m, Z200m, Z300m, Z400m and Z500m were created following the methodology of Rhodes *et al.* (2009) such that:

\[
\begin{align*}
\text{Z100m} &= \text{X100m} \\
\text{Z200m} &= \text{X200m} - \text{X100m} \\
\text{Z300m} &= \text{X300m} - \text{X200m} \\
\text{Z400m} &= \text{X400m} - \text{X300m} \\
\text{Z500m} &= \text{X500m} - \text{X400m}
\end{align*}
\]
where X100m,…,X500m are the land cover class coverage data for the 100m,…,500m buffer sizes respectively, and the Z200,…,Z500m provide the difference between the original variables and the variable nested within it (Rhodes et al., 2009).

Landscape structure and composition are important determinants of species distributions and population viability (Rhodes et al., 2009), with the amount of suitable habitat present and the level of landscape fragmentation both important factors for biological population abundance and distribution (Fahrig, 2003). Here, the aggregate properties of the spatial organisation of land cover patches within a 500m radius buffer surrounding each transect point are examined using landscape metric methods within FRAGSTATS (McGarigal et al., 2002). Eighteen landscape level metrics were generated (see Table 1). Pairwise correlation was performed between metrics values, with all correlations exhibiting an $r^2$ value of $<0.5$ indicating that the landscape metrics variables were not highly correlated.

Table 1. Landscape metrics included in the analysis (McGarigal et al., 2002).

<table>
<thead>
<tr>
<th>Metric Type</th>
<th>Metric</th>
<th>Acronym</th>
</tr>
</thead>
<tbody>
<tr>
<td>Area and edge metrics</td>
<td>Total Area</td>
<td>TA</td>
</tr>
<tr>
<td></td>
<td>Largest Patch Index</td>
<td>LPI</td>
</tr>
<tr>
<td></td>
<td>Patch Area Distribution</td>
<td>AREA_AM</td>
</tr>
<tr>
<td>Shape metrics</td>
<td>Perimeter-Area Ratio Distribution</td>
<td>PARA_AM</td>
</tr>
<tr>
<td></td>
<td>Fractal Index Distribution</td>
<td>FRAC_AM</td>
</tr>
<tr>
<td></td>
<td>Contiguity Index Distribution</td>
<td>CONTIG_AM</td>
</tr>
<tr>
<td>Aggregation metrics</td>
<td>Aggregation Index</td>
<td>AI</td>
</tr>
<tr>
<td></td>
<td>Patch Cohesion Index</td>
<td>COHESION</td>
</tr>
<tr>
<td></td>
<td>Landscape Division Index</td>
<td>DIVISION</td>
</tr>
<tr>
<td></td>
<td>Splitting Index</td>
<td>SPLIT</td>
</tr>
<tr>
<td></td>
<td>Euclidean Nearest Neighbor Distance Distribution</td>
<td>ENN_AM</td>
</tr>
<tr>
<td></td>
<td>Connectance</td>
<td>CONNECT</td>
</tr>
<tr>
<td>Diversity metrics</td>
<td>Patch Richness</td>
<td>PR</td>
</tr>
<tr>
<td></td>
<td>Shannon’s Diversity Index</td>
<td>SHDI</td>
</tr>
<tr>
<td></td>
<td>Simpson’s Diversity Index</td>
<td>SIDI</td>
</tr>
<tr>
<td></td>
<td>Shannon’s Evenness Index</td>
<td>SHEI</td>
</tr>
<tr>
<td></td>
<td>Simpson’s Evenness Index</td>
<td>SIEI</td>
</tr>
</tbody>
</table>

Random forest (RF) analysis was performed to identify potential causal linkages between Ochotona spp. presence and the environmental variables of nested land cover class areas, the landscape metrics, and topographical variables of elevation, slope and aspect (ntrees = 10000, number of variables tried at each split = 21). The OOB data samples generated importance measures for each variable, and tested the prediction error of the generated RF.
Random Forest analysis was performed in the R statistical environment using the randomForest package (Liaw & Wiener, 2002). The RF was then used to produce a predicted *Ochotona spp.* distribution map. A point grid was generated for a 45km x 45km area surrounding the survey transect locations with 30m point spacing. Data values for each explanatory variable included in the RF were calculated for each vector grid point. The RF was applied in a predictive classifier capacity with the vector grid datasets as input variables and predicted *Ochotona spp.* presence or absence as the output. Predicted values were converted from vector to raster format using ArcMap 10.1.

### 3. Results

The overall land cover classification accuracy using 365 reference locations was 83.84% (Table 2). Of the 3481 sample points sampled along 15 transects, *Ochotona spp.* were present at 1246 points (35.8%). For individual transects the rate of *Ochotona spp.* presence ranged from 0% (transects 1, 11 and 15) to 88% (transect 2) indicating a patchy distribution across the study area (Table 3).
Table 2. Supervised classification confusion matrix and accuracy assessment. Overall Kappa statistic = 0.816

<table>
<thead>
<tr>
<th>Classified</th>
<th>Reference</th>
<th>Village</th>
<th>Road</th>
<th>Long grass</th>
<th>Water</th>
<th>Short grass</th>
<th>Upper potentilla shrubland</th>
<th>Bare ground</th>
<th>Degraded grassland</th>
<th>Wet grassland</th>
<th>Sum of row</th>
<th>User's accuracy (%)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Village</td>
<td>22</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>22</td>
<td>100.00</td>
</tr>
<tr>
<td>Road</td>
<td>0</td>
<td>41</td>
<td>0</td>
<td>3</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>44</td>
<td>93.18</td>
</tr>
<tr>
<td>Long grass</td>
<td>0</td>
<td>0</td>
<td>18</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>1</td>
<td>0</td>
<td>0</td>
<td>19</td>
<td>94.74</td>
</tr>
<tr>
<td>Water</td>
<td>0</td>
<td>1</td>
<td>2</td>
<td>44</td>
<td>1</td>
<td>0</td>
<td>0</td>
<td>1</td>
<td>0</td>
<td>0</td>
<td>4</td>
<td>83.02</td>
</tr>
<tr>
<td>Short grass</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>31</td>
<td>2</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>33</td>
<td>93.94</td>
</tr>
<tr>
<td>Upper potentilla shrubland</td>
<td>0</td>
<td>1</td>
<td>2</td>
<td>0</td>
<td>5</td>
<td>20</td>
<td>0</td>
<td>2</td>
<td>0</td>
<td>0</td>
<td>30</td>
<td>66.67</td>
</tr>
<tr>
<td>Bare ground</td>
<td>0</td>
<td>1</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>44</td>
<td>2</td>
<td>0</td>
<td>0</td>
<td>47</td>
<td>93.62</td>
</tr>
<tr>
<td>Degraded grassland</td>
<td>1</td>
<td>2</td>
<td>2</td>
<td>3</td>
<td>7</td>
<td>1</td>
<td>4</td>
<td>45</td>
<td>0</td>
<td>0</td>
<td>65</td>
<td>69.23</td>
</tr>
<tr>
<td>Wet grassland</td>
<td>0</td>
<td>4</td>
<td>4</td>
<td>3</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>41</td>
<td>52</td>
<td>78.85</td>
</tr>
<tr>
<td>Sum of column</td>
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<td>50</td>
<td>47</td>
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<td>49</td>
<td>50</td>
<td>45</td>
<td>45</td>
<td>365</td>
<td></td>
</tr>
<tr>
<td>Producers accuracy</td>
<td>95.65</td>
<td>82.00</td>
<td>64.29</td>
<td>88.00</td>
<td>65.96</td>
<td>86.96</td>
<td>89.80</td>
<td>90.00</td>
<td>91.11</td>
<td>Overall accuracy = 83.84</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

Overall Kappa statistic = 0.816
Table 3. Survey transect *Ochotona spp.* presence and elevation ranges.

<table>
<thead>
<tr>
<th>Transect</th>
<th>Number of survey points along transect</th>
<th>Number of points with <em>Ochotona spp.</em> present</th>
<th>Number of points with <em>Ochotona spp.</em> absent</th>
<th><em>Ochotona spp.</em> presence (%)</th>
<th>Elevation range of transect (m)</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>276</td>
<td>0</td>
<td>276</td>
<td>0.0</td>
<td>4280-4480</td>
</tr>
<tr>
<td>2</td>
<td>133</td>
<td>117</td>
<td>16</td>
<td>88.0</td>
<td>4290-4334</td>
</tr>
<tr>
<td>3</td>
<td>320</td>
<td>89</td>
<td>231</td>
<td>27.8</td>
<td>4294-4350</td>
</tr>
<tr>
<td>4</td>
<td>94</td>
<td>1</td>
<td>93</td>
<td>1.1</td>
<td>4299-4360</td>
</tr>
<tr>
<td>5</td>
<td>346</td>
<td>28</td>
<td>318</td>
<td>8.1</td>
<td>4287-4350</td>
</tr>
<tr>
<td>6</td>
<td>475</td>
<td>363</td>
<td>112</td>
<td>76.4</td>
<td>4285-4501</td>
</tr>
<tr>
<td>7</td>
<td>274</td>
<td>129</td>
<td>145</td>
<td>47.1</td>
<td>4387-4532</td>
</tr>
<tr>
<td>8</td>
<td>137</td>
<td>61</td>
<td>76</td>
<td>44.5</td>
<td>4309-4484</td>
</tr>
<tr>
<td>9</td>
<td>182</td>
<td>10</td>
<td>172</td>
<td>5.5</td>
<td>4299-4366</td>
</tr>
<tr>
<td>10</td>
<td>424</td>
<td>242</td>
<td>182</td>
<td>57.1</td>
<td>4160-4348</td>
</tr>
<tr>
<td>11</td>
<td>22</td>
<td>0</td>
<td>22</td>
<td>0.0</td>
<td>4160-4160</td>
</tr>
<tr>
<td>12</td>
<td>172</td>
<td>1</td>
<td>171</td>
<td>0.6</td>
<td>4160-4259</td>
</tr>
<tr>
<td>13</td>
<td>339</td>
<td>204</td>
<td>135</td>
<td>60.2</td>
<td>4177-4262</td>
</tr>
<tr>
<td>14</td>
<td>109</td>
<td>1</td>
<td>108</td>
<td>0.9</td>
<td>4182-4300</td>
</tr>
<tr>
<td>15</td>
<td>178</td>
<td>0</td>
<td>178</td>
<td>0.0</td>
<td>4190-4492</td>
</tr>
<tr>
<td>Total</td>
<td>3481</td>
<td>1246</td>
<td>2235</td>
<td>35.8</td>
<td>4160-4532</td>
</tr>
</tbody>
</table>

RF analysis explained 70.78% of the variance in *Ochotona spp.* presence or absence.

Fig 2 shows the ten environmental variables determined as most important by the RF in relation to *Ochotona spp.* presence. Aggregation Index (AI) was identified as the single most important variable, however it was the only landscape metric in the top ten ranked variables.

Three of the top five variables were degraded grassland (DG), with DG at the 100m buffer size second, at the 300m buffer size fourth, and at the 200m buffer size fifth. Upper *Potentilla* shrubland (UPS) was also important but at the larger buffer sizes of 400m (third ranked importance), 500m (seventh) and 300m (ninth). Water at 500m was sixth highest ranked, with altitude eighth, and short grass (SG) at the 500m buffer tenth.

Figure 2. Variable importance scores for the top ten variables as identified by the RF, with corresponding % increase in mean square error when that variable is randomly permuted. Percent variance explained = 70.78%, number of trees = 10000, mean square of residuals = 0.07, number of variables tried at each split = 21. AI = Aggregation Index; DG = degraded grassland; UPS = upper *Potentilla* shrubland; SG = short grass.
A confusion matrix of the predicted values was generated using the OOB data samples to assess the RF predictive accuracy (Table 4). Results indicate that the RF performed with a high level of accuracy, with a 90.98% accuracy rate. Of the incorrectly predicted samples, the false positives (150) and false negatives (164) were similar in magnitude.

Table 4. RF confusion matrix of predicted versus observed *Ochotona spp.* presence (1) and absence (0). Total correct = 3167, total incorrect = 314, percentage of survey points predicted correctly = 90.98%

<table>
<thead>
<tr>
<th>Observed value</th>
<th>Predicted value</th>
<th>Total</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>0</td>
<td>1</td>
</tr>
<tr>
<td>0</td>
<td>2085</td>
<td>150</td>
</tr>
<tr>
<td>1</td>
<td>164</td>
<td>1082</td>
</tr>
<tr>
<td>Total</td>
<td>2249</td>
<td>1232</td>
</tr>
</tbody>
</table>

The map produced (Fig 3) shows the predicted areas of *Ochotona spp.* presence with patchiness in these areas observed at the local scale. Areas of predicted presence occur across
the area, but are more extensive to the south, west, and north-west of the original survey transects, with sparser areas of predicted presence to the east and north-east.

Figure 3. Predicted *Ochotona* spp. presence (red) or absence (blue) with original survey transects overlaid and UTM WGS84 zone 47N grid displayed for context. [SINGLE COLUMN FIGURE]

4. Discussion

This research examined a critical phase of the *Echinococcus multilocularis* (Em) transmission cycle, and adopted an analytical approach using random forests (RF) to model and predict *Ochotona* spp. presence in relation to landscape characteristics within a highly endemic area of the Tibetan plateau for Em. We found that the environmental variables analysed explained 70.78% of the variance in *Ochotona* spp. presence. It is argued thus that (1) *Ochotona* spp.
presence is statistically related to key environmental variables which can be used to predict species presence over large areas; and (2) in the geographical area of interest *Ochotona spp.* presence is specifically linked to areas of degraded grassland.

The application of RF for predictive modelling of *Ochotona spp.* presence, based on landscape characteristics has provided a clearer understanding of the influence of key landscape variables in this region. The environmental variables analysed explained 70.78% of the variance in *Ochotona spp.* presence, with a 90.98% accuracy rate indicating that the RF methods employed enabled accurate modelling of *Ochotona spp.* presence. Given these encouraging results, we then generated predictive maps of *Ochotona spp.* presence across a larger spatial extent within the same biogeographical area to identify potential hot-spots of presence meriting further investigation as reservoir zones of the zoonotic parasite *Echinococcus multilocularis*.

This analysis enabled comparison of the relative importance of the environmental predictors, with the aggregation index (AI) landscape metric ranked with the highest importance. AI is computed where each land cover class is weighted by its area in the landscape, scaled to account for the maximum possible number of like adjacencies given any landscape composition (McGarigal *et al.*, 2002). The interpretation is that buffered areas containing larger aggregations, or clusters of land cover patches of the same type, are of importance in influencing *Ochotona spp.* presence. However, eight of the ten highest ranked variables are particular land cover class variables suggesting that the presence of specific land cover classes was, with the exception of AI, of greater importance in influencing *Ochotona spp.* presence than land cover patch spatial arrangement.

RF assessment indicated that degraded grassland (DG) at the 100m buffer size was the most important land cover class variable. At the 200m and 300m buffer sizes DG was again the highest ranked land cover variable. Although UPS (400m) and water (500m) were the highest ranked land cover variables at those respective buffer sizes, the ranking of DG as second, fourth and fifth most important variables overall, and highest at the three buffer sizes closest to the survey transect points, indicates that DG could be considered the most important land cover variable of influence. Smith & Gao, (1991) determined that the average home range for *Ochotona curzoniae* is 1,375 ± 206m², placing the principle area of activity of an individual *Ochotona spp.* within the 100m buffer area, supporting the RF result that DG at the 100m buffer size is the most important land cover variable influencing *Ochotona spp.* presence. This reinforces previous studies that have sought to understand the drivers of *Ochotona spp.* presence in the study region such as Raoul *et al.* (2006), and visual field observations,
indicating that higher *Ochotona* spp. densities were more commonly present in areas with low vegetation cover. It should be noted, however, that in some areas of degraded grassland where transects were surveyed *Ochotona* spp. were not present. This may be due to patchy local-scale extinctions during *Ochotona* spp. population cycles in this area.

Of particular concern in the study area is the impact of heavy grazing by yak resulting in large areas of degraded grassland. Past studies have shown that land cover changes and grazing practices can increase the likelihood of small mammal population outbreaks that are suggested to play a significant role in Em transmission (Wang *et al.*, 2004). If this heavy grazing results in larger *Ochotona* spp. populations and more frequent population outbreaks due to increased optimal habitat availability, this could potentially contribute to increasing levels of Em transmission, resulting in greater risk to human populations.

### 4.1 Conclusions

We have used random forests (RF) to successfully model the environmental variables influencing spatial patterns in the presence of the *E. multilocularis* intermediate host *Ochotona* spp. in western China. The predictive use of random forests to indicate likely areas of *Ochotona* spp. presence could form a valuable contribution to systematic modelling describing the broader *E. multilocularis* transmission pathways between *Ochotona* spp. small mammal intermediate hosts, both sylvatic (fox) and domestic (dog) definitive hosts, and susceptible human populations. Given the relationships established previously by Wang *et al.* (2010) correlating density of *Ochotona* spp. burrows with domestic dog infection rates, this methodology could enable identification of domestic dog populations at risk of continual re-infection through predation of *Ochotona* spp. and thus help identify areas of active *E. multilocularis* transmission. In conjunction with the possibility of applying these techniques over larger geographical regions utilizing the extensive coverage of satellite imagery, such information could facilitate the design of pre-emptive disease control measures including targeted treatment of dogs with antihelminthic drugs to disrupt the Em transmission cycle in that region, thus reducing Em infection risk in local human populations.

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