

1 **Can air humidity and temperature regimes within cloud forest canopies be**  
2 **predicted from bryophyte and lichen cover?**

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15  
16 **Abstract**

17 The use of bryophyte and lichen cover as a proxy for air relative humidity (RH) and  
18 temperature in tropical forests has been widely proposed. Many studies that have  
19 assessed the usefulness of such indicators have mostly focused on estimates from  
20 ground observations. Here we identify the usefulness of bryophyte and lichen cover  
21 to estimate RH and temperature along montane cloud forest canopies in Cusuco  
22 National Park, Honduras. We used correlation analysis to identify the contribution of  
23 height above ground level (i.e. canopy position) and elevation (asl.) on the cover of  
24 bryophytes and lichens and in relation to temperature and RH measured over a 12-  
25 mo period. We found that maximum RH and mean temperature was best explained  
26 by bryophyte cover when elevation was included in the model ( $R^2 = 0.23$  and  $R^2 =$   
27  $0.82$  respectively). Elevation explained the largest proportion of variance in that  
28 model (22-82%). On the other hand, maximum RH and minimum temperature were  
29 best explained by lichen cover and elevation ( $R^2 = 0.27-0.85$ ). RH and bryophyte  
30 cover were positively correlated (best fit model:  $R^2 = 0.11$ ) and RH and lichen cover  
31 negatively correlated (best fit model:  $R^2 = 0.12$ ). The correlation between  
32 temperature and bryophyte cover was positive (best fit model:  $R^2 = 0.03$ ) and the  
33 correlation between temperature and lichen cover, with the exception of the lower  
34 canopy, was positive (best fit model:  $R^2 = 0.09$ ). We conclude that estimates that use  
35 bryophyte and lichen cover as a proxy for RH and temperature need to consider the  
36 effects of differences in elevation between sites. Our results have also shown that  
37 including canopy position in models, that predict microclimate data from bryophyte  
38 and lichen cover, did not increase the explanatory power of such models.  
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40 **Keyword:** mosses, epiphyte, Honduras, microclimate, elevation  
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## 45 **1.1 Introduction**

46 The use of non-vascular epiphytes such as bryophytes and lichens as indicators for  
47 environmental conditions such changes and climates has frequently been proposed  
48 (Zotz and Bader 2009, Boltersdorf et al. 2014, Santos et al. 2014) and several  
49 studies have proposed the use of indicator taxa for that purpose (Holz and Gradstein  
50 2005, Normann et al. 2010). In tropical cloud forests, lichens and bryophytes are  
51 often very plentiful and they cover large surface areas of the vascular plant flora as  
52 epiphytes and hyper-epiphytes (Gradstein and Pocs 1989). Humid montane forests  
53 in particular show increased species richness, abundance and biomass of non-  
54 vascular epiphytes, in comparison to lowland forests (Frahm 1990, Frahm and  
55 Gradstein 1991, Wolf 1994, Wagner et al. 2014). Bryophytes and lichens show clear  
56 zonations within forest canopies, but both groups show different patterns in their  
57 distribution (Cornelissen and Steege 1989, León-Vargas et al. 2006, Cornelissen et  
58 al. 2007). Their vertical and horizontal distribution is mostly attributed to microclimate  
59 gradients within the canopy (Wolf 1993, Acebey et al. 2003, Wagner et al. 2014).

60 The diffusion of sunlight through the canopy makes the air in the upper canopy  
61 warmer and lighter, whereas the air in the lower canopy is often cooler and denser,  
62 resulting in a stable temperature stratification within the canopy (Szarzynki and  
63 Anhuf 2001). Relative air humidity (RH) is generally higher in the lower canopy and  
64 temperature displays a reversed pattern (Batke and Kelly 2014).

65 Collecting data on the microclimate of a forest is often time-consuming and costly.  
66 Because the cover of bryophytes and lichens is closely coupled to the microclimatic  
67 conditions within a forest canopy, it has been proposed that bryophyte cover [and to  
68 some extent lichen cover and growth (Shukla et al. 2013)] can be used as a proxy for  
69 RH and temperature (Gradstein and Pocs 1989, Frahm and Gradstein 1991, Karger  
70 et al. 2012). For example, the relationship between bryophyte cover and RH in  
71 tropical forests was recently investigated by Karger et al. (2012). Their study  
72 investigated 26 study sites in tropical forests in Costa Rica, Ecuador and the  
73 Philippines and found that, across their study sites, bryophyte cover was only weakly  
74 correlated with RH. However, after separating highland (1800-3500 m asl.) from  
75 lowland sites (<1800 m asl.), RH showed a significant positive relationship with  
76 bryophyte cover ( $R^2 = 0.36-0.62$ ). In contrast, temperature was only correlated to  
77 bryophyte cover in the lowlands ( $R^2 = 0.36$ ). Karger et al. (2012) suggested that  
78 these results can be used to make relatively good estimates of the RH in a given  
79 study site when bryophyte cover is used as a proxy. The usefulness of lichen cover  
80 as an indicator for RH and temperature in tropical forests on the other hand, has  
81 been less well studied. Pearson (1969) found in Minnesota that trees that were  
82 located further from the edge of the forest showed significantly lower RH and an  
83 approximately 50% increase in lichen cover. His data suggested that increased light  
84 and temperature levels and the lower RH outside the denser forest, provided more  
85 optimal growing conditions for a number of lichen species. Although the lichen cover  
86 on average was lower on trees in the interior of the forest, lichens were still abundant  
87 in the crowns of the trees.

88 Taller forests have a much stronger vertical gradient in microclimate regimes  
89 compared with shorter forests (Sillett and Antoine 2004). It is therefore likely that the  
90 cover estimates of bryophytes (and lichens) show much stronger vertical  
91 dissimilarities in taller forests (McCune et al. 2000). Studies that estimate bryophyte  
92 and lichen cover from the ground rely heavily on an open understory and the use of  
93 binoculars (Gradstein et al. 2003). Estimates that are based on ground observations

94 are likely to be less accurate compared to estimates that use direct branch  
95 observations, e.g. through rope-climbing methods (McCune and Lesica 1992).  
96 In this study, we investigated the correlations between temperature and RH and  
97 bryophyte and lichen cover along the whole vertical length of a tall forest canopy in  
98 Honduras. We aimed to investigate whether bryophyte and lichen cover can be used  
99 as a proxy for RH and temperature along the full vertical forest profile. It was  
100 predicted that bryophyte and lichen cover on individual branches will change with  
101 height in the canopy. Bryophytes grow frequently in conditions where moisture levels  
102 are high and are in effect shade plants (León-Vargas et al. 2006). Their cover is  
103 largely determined by the loss of water from exposure (e.g. sun light). As they  
104 become light-saturated at relatively low levels, deeply shaded places such as the  
105 lower canopy are thus better for water conservation (Proctor 1990). Lichens on the  
106 other hand grow more plentifully on more exposed sites in the canopy (Pearson  
107 1969) where temperatures and light levels are higher. The upper branches in a tree  
108 are also much younger, provide less favorable conditions to bryophytes and hence  
109 reduce competition from bryophytes (Wolseley and Aguirre-Hudson 1997).  
110 Our hypotheses were (i) that RH and bryophyte cover are positively correlated, both  
111 being highest in the lower canopy and lowest in the upper canopy and (ii) that RH  
112 and lichen cover are negatively correlated. (iii) The reverse patterns were expected  
113 for the correlations with temperature.

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## 115 **2.1 Materials and methods**

### 116 *2.1.1 Data collection*

117 Climate data were collected over a 12-mo period within 20 large mature trees (ten  
118 needle-leaved conifers and ten broadleaved angiosperms) in Cusuco National Park  
119 (CNP), Honduras (15°32'31"N, 88°15'49"W). Ten trees including both life-forms (one  
120 conifer and one broadleaved tree per plot) were located within five low elevation  
121 cloud forest plots (<1450 m asl.) and ten trees in five high elevation cloud forest plots  
122 (1800-2000 m asl.). We selected different host life-forms, as previous studies have  
123 shown that the cover of non-vascular epiphytes can vary between different host life-  
124 forms and species, which is often a result of differences in bark properties and tree  
125 height (Wolf 1994). Due to significant logging and farming activities at low elevation  
126 sites (>1450 m asl.), the elevation gradient was relatively low. Minimum distance  
127 between plots (150 × 150 m) was 50 m. Luscar EL-USB-2 data loggers (n = 70)

128 were used to measure RH and temperature at 10-min (n = 8) or hourly (n = 62)  
129 intervals between June 2012 and June 2013. The 10-min interval measurements for  
130 eight of the data loggers were averaged to hourly measurements for the analysis.  
131 The loggers were suspended at three different heights within the canopy namely the  
132 lower, middle and upper third of the canopy. As described in Batke and Kelly (2014),  
133 the height of each logger depended on the total tree height and each logger was at  
134 the same horizontal distance from the bole of the tree (i.e. the inner canopy). Some  
135 of the data loggers were paired, in order to assess recording precision. Branches  
136 that were located between two logger-levels were assigned a canopy position based  
137 on their distance to the nearest data logger. Mean ± SD tree height was 40.4 m ± 9.9  
138 m [see Batke and Kelly (2014) for more details on the forest plots]. We used rope  
139 climbing techniques to sample every branch along the whole tree for bryophyte and  
140 lichen cover. The height of each branch was measured using a tape measure from  
141 the center of each branch. Branches that grew vertically and branches that grew  
142 across different canopy zones were subdivided and treated separately. Bryophyte

143 and lichen cover were visually estimated for each branch (and bole), using a 0-100%  
144 scale with 5% intervals.

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### 147 *2.1.2 Data analysis*

148 From the data logger measurements (number of repeated measures = 386,469) we  
149 calculated the mean, maximum and minimum temperature and RH for each canopy  
150 position, viz. lower, middle and upper canopy. We used linear regression and Linear  
151 Mixed Effect Models to identify the effects of canopy position and elevation on the  
152 cover of bryophytes and lichens and their relationships to temperature and RH. RH  
153 and temperature were treated as dependent variables, whereas canopy position,  
154 elevation, bryophyte and lichen cover were treated as independent variables. We  
155 analyzed mean, minimum and maximum microclimate variables separately. Tree  
156 identity was treated as a random variable but was not included in any further  
157 analysis as the contribution of tree identity to the models was low (0.2% of variance  
158 explained).

159 To identify the model that best explained humidity and temperature, the models were  
160 tested using ANOVA comparisons and the model with the lowest Akaike Information  
161 Criterion (AIC) was retained. Elevation was included as a continuous variable and  
162 canopy position as a categorical variable. The correlations between RH/temperature  
163 and height in the canopy were demonstrated in previous work (Batke and Kelly  
164 2014). All calculations were done in 'R' (R Developing Core Team 2011).

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## 166 **3.1 Results**

167 Elevation explained 22% of the data when modeled for maximum RH and 80% when  
168 modeled for mean temperature (Table 1). Canopy position showed much weaker  
169 correlations, with the best-fit models explaining 10% of minimum RH and 7% of  
170 maximum temperature (Table 1). RH (mean RH = 6%) and temperature (minimum  
171 temperature = 2%) were poorly predicted from bryophyte cover alone (Table 1).  
172 However, when elevation was included in the model, the overall model fits for RH  
173 and temperature were improved by 21% (maximum RH) and 80% (mean  
174 temperature) respectively. Although canopy position did contribute to the model  
175 performance, the contributions were small when modeled together with bryophyte  
176 cover (mean RH = 11%; minimum temperature = 3%; Table 1). The only statistically  
177 significant correlations of RH to bryophyte cover at the different canopy positions  
178 were to mean and minimum RH and maximum and minimum temperature in the  
179 upper canopy (Table 2 and Figure 1). Bryophyte cover increased with mean RH and  
180 minimum temperature (Figure 1). In summary, mean temperature explained most of  
181 the cover of bryophytes when elevation was included (overall model fit = 82%).  
182 Similarly, maximum RH explained most of the cover of bryophytes when elevation  
183 was included (overall mode fit = 23%; Table 1). Canopy position did not contribute  
184 much to the model performance but the correlations varied between different canopy  
185 positions (Figure 1).

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187 RH (maximum RH = 12%) and temperature (mean temperature = 17%) were poorly  
188 predicted from lichen cover alone (Table 1). However, as with bryophyte cover,  
189 when elevation was included in the model, the overall model fits for RH and  
190 temperature with lichen cover were improved by 15% (maximum RH) and 68%  
191 (mean temperature) respectively. Including canopy position in the models did not  
192 increase model performance (Table 1). However, compared to bryophyte cover, RH

193 and temperature were more strongly correlated to lichen cover at the different  
 194 canopy positions (Table 2 and Figure 1). Mean and maximum RH were statistically  
 195 correlated to lichen cover at the middle and upper canopy. Also, minimum RH was  
 196 statistically correlated to lichen cover at the lower canopy (Table 2 and Figure 1).  
 197 Temperature showed a similar pattern with the only difference being the correlation  
 198 between minimum temperature and lichen cover in the upper canopy (Table 2 and  
 199 Figure 1). Lichen cover decreased with maximum RH, and, with the exception of the  
 200 lower canopy, increased with maximum temperature (Figure 1). In summary,  
 201 maximum temperature explained most of the cover of lichens, when elevation was  
 202 included (overall model fit = 85%). Similarly, maximum RH explained most of the  
 203 cover of lichens when elevation was included (overall mode fit = 27%; Table 1).  
 204 Finally, compared to bryophyte cover, canopy position was more important when  
 205 lichen cover was correlated to climate variables (Table 2 and Figure 1).  
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209 **Table 1.** Mixed effects linear models correlating air RH and temperature to visually  
 210 estimated bryophyte and lichen cover at different heights within the canopy (i.e.  
 211 Position) and between high and low elevation sites (i.e. Elevation asl.). The models  
 212 that present most of the variation in the data and had the lowest AIC scores are  
 213 highlighted in bold and their significance level are marked by asterisks (\*\*p < 0.01  
 214 and \*p < 0.05).

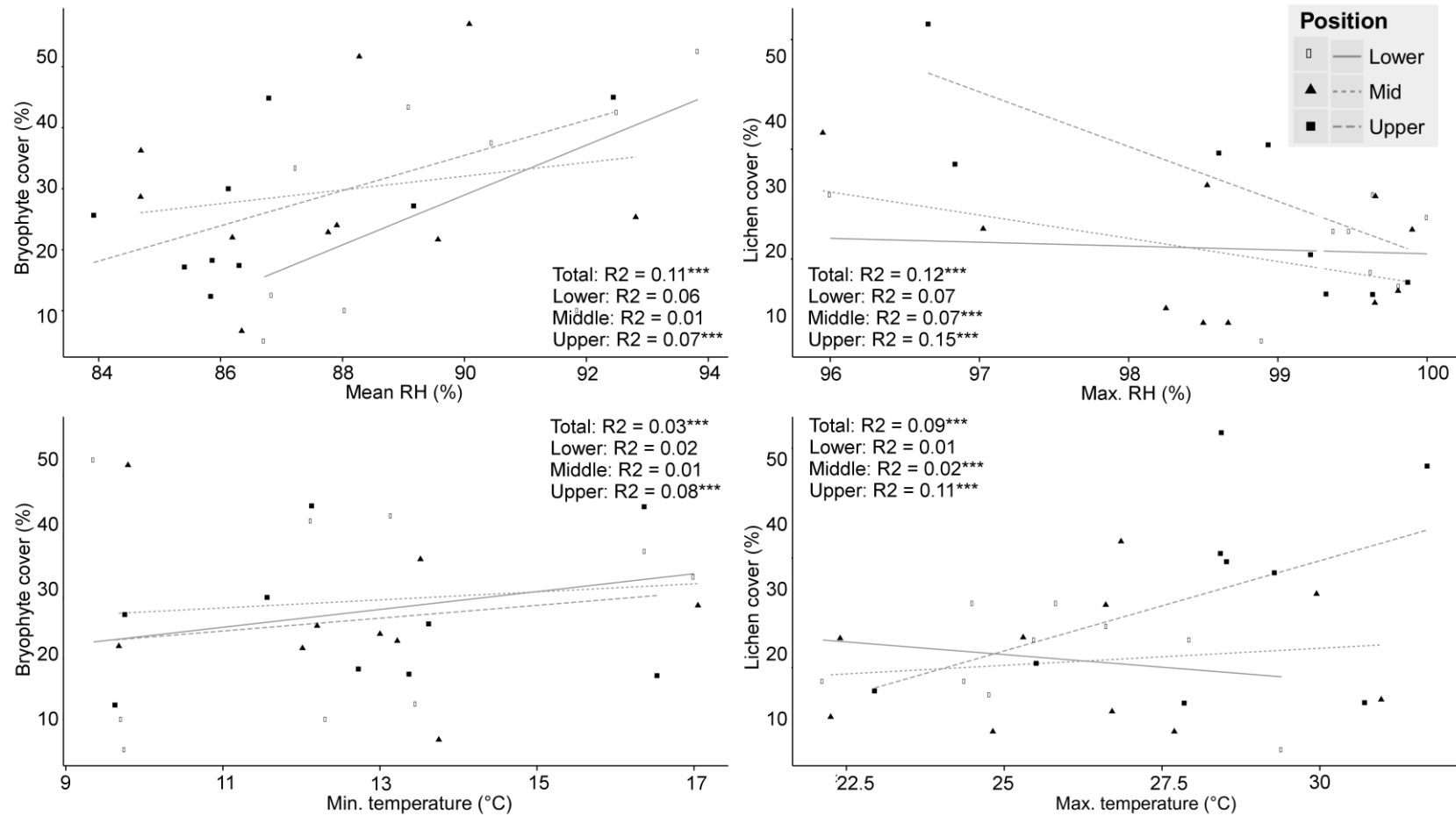
Group	Dependent	Independent	Humidity			Temperature		
			AIC	R <sup>2</sup>	p	AIC	R <sup>2</sup>	p
	Max.	Elevation	<b>1249.6</b>	<b>0.22</b>	<b>&lt;0.01**</b> *	2021.1	0.19	<0.01** *
	Max.	Position	1350.4	0.001	0.33	2079.0	0.07	<0.01** *
	Mean	Elevation	1890.5	0.20	<0.01** *	<b>1000.7</b>	<b>0.80</b>	<b>&lt;0.01**</b> *
	Mean	Position	1961.7	0.05	<0.01** *	1691.3	0.001	0.48
	Min.	Elevation	3008.4	0.04	<0.01** *	1530.4	0.57	<0.01** *
	Min.	Position	2980.7	0.10	<0.01** *	1861.3	0.01	0.02*
Bryophyte								
	Max.	Bryophyte	1348.8	0.002	0.18	2099.9	0.02	<0.01** *
	Max.	Bryophyte:Position	1353.8	0.001	0.44	2076.1	0.08	<0.01** *
	Max.	Bryophyte:Elevation	<b>1247.0</b>	<b>0.23</b>	<b>&lt;0.01**</b> *	2016.3	0.21	<0.01** *
	Max.	Bryophyte:Position:Elevation	1258.4	0.22	<0.01** *	1970.3	0.30	<0.01** *
	Mean	Bryophyte	1957.0	0.06	<0.01** *	1690.0	0.0004	0.36
	Mean	Bryophyte:Position	1940.6	0.11	<0.01** *	1696.1	0.01	0.74

	Mean	Bryophyte:Elevation	1863.4	0.26	<0.01** *	<b>995.7</b>	<b>0.82</b>	<b>&lt;0.01**</b> *
	Mean	Bryophyte:Position:Elevation	1828.9	0.33	<0.01** *	1002.7	0.82	<0.01** *
	Min.	Bryophyte	2987.4	0.09	<0.01** *	1857.2	0.02	<0.01** *
	Min.	Bryophyte:Position	2947.7	0.18	<0.01** *	1858.2	0.03	<0.01** *
	Min.	Bryophyte:Elevation	2969.1	0.13	<0.01** *	1505.9	0.59	<0.01** *
	Min.	Bryophyte:Position:Elevation	2923.2	0.24	<0.01** *	1502.4	0.60	<0.01** *
Lichen								
	Max.	Lichen	1299.2	0.12	<0.01** *	2092.3	0.04	<0.01** *
	Max.	Lichen:Position	1301.4	0.12	<0.01** *	2071.2	0.09	<0.01** *
	Max.	Lichen:Elevation	<b>1226.2</b>	<b>0.27</b>	<b>&lt;0.01**</b> *	2011.1	0.22	<0.01** *
	Max.	Lichen:Position:Elevation	1227.4	0.28	<0.01** *	1966.4	0.31	<0.01** *
	Mean	Lichen	1945.3	0.09	<0.01** *	1613.5	0.17	<0.01** *
	Mean	Lichen:Position	1933.6	0.12	<0.01** *	1617.3	0.17	<0.01** *
	Mean	Lichen:Elevation	1880.5	0.23	<0.01** *	<b>937.4</b>	<b>0.85</b>	<b>&lt;0.01**</b> *
	Mean	Lichen:Position:Elevation	1846.2	0.30	<0.01** *	938.7	0.85	<0.01** *
	Min.	Lichen	3021.0	0.01	<0.05*	1813.3	0.12	<0.01** *
	Min.	Lichen:Position	2977.6	0.12	<0.01** *	1807.6	0.14	<0.01** *
	Min.	Lichen:Elevation	2999.6	0.06	<0.01** *	1498.8	0.60	<0.01** *
	Min.	Lichen:Position:Elevation	2954.0	0.18	<0.01** *	1500.2	0.61	<0.01** *

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225 **Table 2.** Bryophyte and lichen cover coefficients of determination ( $R^2$ ) for mean,  
 226 maximum and minimum RH and temperature for each canopy position. The  $R^2$   
 227 values that were highly significant ( $p < 0.01$ ) are marked by \*\*\*.

		RH			Temperature		
Type		Mean	Max.	Min.	Mean	Max.	Min.
Bryophyte							
	Lower	0.06	0.04	0.13	0.05	0.001	0.02
	Middle	0.01	0.01	0.03	0.01	0.004	0.01
	Upper	0.07***	0.002	0.11***	0.002	0.03***	0.08***
Lichen							
	Lower	0.02	0.07	0.03***	0.02	0.01	0.04
	Middle	0.08***	0.07***	0.002	0.19***	0.02***	0.004
	Upper	0.12***	0.15***	0.004	0.19***	0.11***	0.03***



230 **Figure 1.** Relationships of bryophyte and lichen cover on canopy branches at different canopy positions per plot with RH and  
 231 temperature. Following the best fit models, the mean RH and minimum temperature correlations for bryophyte cover are presented  
 232 and the maximum RH and maximum temperature correlations for lichen cover. The solid lines represent the linear fit for the lower  
 233 canopy, the dotted lines represent the linear fit for the middle canopy and the dashed lines represent the linear fit for the upper  
 234 canopy ( $^{***}p < 0.01$ ).



## 235 4.1 Discussion

236 Our results showed that most of the variability in the climate data was best explained  
237 by the difference in elevation between sites. The position of the data loggers along  
238 the vertical canopy profile accounted for only a small proportion of the data variability  
239 and RH and temperature were only poorly predicted from bryophyte and lichen cover  
240 when they were modeled as the only independent variable in the correlation. The  
241 best-fit models were: maximum RH correlated to bryophyte cover and elevation ( $R^2 =$   
242  $0.23$ ), mean temperature correlated to bryophyte cover and elevation ( $R^2 = 0.82$ ),  
243 maximum RH correlated to lichen cover and elevation ( $R^2 = 0.27$ ) and mean  
244 temperature correlated to lichen cover and elevation ( $R^2 = 0.85$ ). The importance of  
245 elevation in explaining the cover of bryophytes was previously demonstrated by  
246 Karger et al. (2012). They demonstrated that elevation explained much of the  
247 variability in bryophyte cover between sites. In their study high elevation sites had a  
248 better fit for RH ( $R^2 = 0.62$ ) compared to low elevation sites ( $R^2 = 0.36$ ). However,  
249 the fit was only better for low elevation sites when bryophyte cover was correlated to  
250 temperature (low:  $R^2 = 0.36$ ; high:  $R^2 = 0.01$ ). In the present study elevation alone  
251 improved the model fit by 17%-80% when modeled with bryophyte cover and 15%-  
252 68% when modeled with lichen cover. In particular, the models of mean temperature  
253 and elevation showed strong correlations when modeled for bryophyte and lichen  
254 cover (Table 1). Our hypotheses that (i) RH and bryophyte cover are positively and  
255 (ii) RH and lichen cover are negatively correlated were confirmed. However, the  
256 strength of the correlations was weak and differed between canopy positions; the  
257 strongest correlations were observed in the upper canopy (Table 2 and Figure 1).  
258 The hypothesis that (iii) temperature and bryophyte cover are negatively correlated  
259 was not confirmed. Likewise, the predicted positive correlations between  
260 temperature and lichen cover was not confirmed for the lower canopy (Figure 1).

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262 Wolf (1993) pointed out that the correlation between bryophyte cover and elevation  
263 is most likely the result of increased RH and a decrease in temperature with  
264 elevation. His as well as our results demonstrated the importance of including  
265 elevation as a variable in any non-vascular epiphyte cover estimate that assess the  
266 correlation between climate variables and their cover. Biomass assimilate of  
267 bryophytes is optimal at low light intensities and at temperatures below 25 °C;  
268 conditions that are frequently observed at high elevation sites and in the lower  
269 canopy. At low elevation sites and at greater height in the canopy biomass  
270 assimilations are often lower, most likely due to higher temperatures and the  
271 resulting higher nocturnal respiration rates (Frahm 1990, Frahm and Gradstein 1991,  
272 Bader et al. 2013, Wagner et al. 2014). Additionally, long periods of high RH allow  
273 for longer periods of photosynthetic activity by reducing the risk of damage from  
274 desiccation (Vanderpoorten and Goffinet 2009). Poikilohydric canopy species in  
275 particular are significantly more affected by the decrease in RH and increased  
276 exposure to desiccation by wind in the upper canopy (Sillett and Antoine 2004).  
277 Lichens are less tolerant to water over-saturation and hence grow in more exposed  
278 conditions such as the upper canopy (Gehrig-Downie et al. 2011). Moreover, lichen  
279 cover in the middle and upper canopy is often much higher compared to the lower  
280 canopy (Lang et al. 1980, Kelly et al. 2004, Batke 2012). This is most likely a result  
281 of more suitable growing conditions (e.g. increased solar radiation in the upper  
282 canopy) and possibly due to reduced competition from bryophytes on such sites. It  
283 has also been suggested that lichen cover (and their distribution) is less affected by  
284 microclimate variables at a stand level compared to a regional level (Giordani and

285 Incerti 2008). If this is the case, this would explain the low correlation of climate  
286 variables to lichen cover in our study.

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288 The low contribution of canopy position to our models suggests that the height in the  
289 canopy is not a strong contributing factor when correlating climate variables to  
290 bryophyte and lichen cover at a stand level. Thus, ground cover estimates in our  
291 study site would have been sufficient to predict RH and temperature, once elevation  
292 was included in the models. We confirmed the view (Sillett and Antoine 2004) that  
293 bryophyte cover increased and lichen cover decreased with increases in RH.

294 However, we were unable to detect a negative correlation between temperature and  
295 bryophyte cover, and we only found a positive correlation between temperature and  
296 lichen cover in the middle and upper canopy (i.e. the best fit model). The weak  
297 correlations between microenvironmental variables and bryophyte and lichen cover  
298 could be because our data were collected at different resolutions. Bryophyte and  
299 lichen cover data were collected on an individual branch level, whereas microclimate  
300 measurements were not available for each individual branch; instead measurements  
301 were taken from three canopy zones (i.e. lower, middle and upper canopy).  
302 Branches that were located between individual data loggers could have experienced  
303 different microclimate conditions to those branches that were located directly next to  
304 a logger. Having one data logger per branch would have been desirable and would  
305 have resulted in a more comprehensive sample design. However, this was not  
306 feasible here.

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308 Finally, the logistical difficulties of ascending into the canopy make it desirable to use  
309 estimates of lichen and bryophyte cover from the ground, to predict RH and  
310 temperature regimes for the whole forest stand (Pardow et al. 2012). Our results  
311 showed that in our study area only a small proportion of microenvironmental  
312 variables were explained by bryophyte and lichen cover estimates. Most of the  
313 variation in climate data was better explained by our models that included elevation  
314 as an independent variable. We therefore do not think that RH and temperature can  
315 be predicted entirely from bryophyte and lichen cover at CNP. Moreover, we did not  
316 find much support that would have suggested that the inclusion of canopy position, in  
317 bryophyte and lichen cover estimates, would have increased the predictive power of  
318 our models.

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325

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